

# S. Y. BANCORP, INC.

## Company Description

S. Y. Bancorp, Inc., S. Y. Bancorp, Inc., with \$2.1 billion in assets, was incorporated in 1988 as a bank holding company. It is the parent company of Stock Yards Bank & Trust Company, which was established in 1904 in Louisville, Kentucky, and now has offices in the Louisville, Indianapolis and Cincinnati metropolitan markets.

In addition to its banking operations, Stock Yards Bank & Trust Company offers a broad array of other financial services, including those of its Wealth Management Group, which provides investment management and trust services. With assets under management recently topping \$2.0 billion for the first time and a record number of account openings in 2012, the Wealth Management Group ranks among the country's top 100 trust companies in terms of revenue. The bank also offers private banking and brokerage services. In addition, its mortgage company offers conventional, VA and FHA financing, as well as a program for low-income first-time homebuyers. The bank sells these residential mortgage loans, originated for both the purchase and refinancing of homes, into the secondary market.

S.Y. Bancorp consistently ranks among the strongest, fastest-growing community banks in the country, as measured by earnings growth and its high returns on equity and assets. The Company has been recognized by *U.S. Banker* on several occasions as one of the top-performing community banks in the nation. S.Y. Bancorp also is named on a recurring basis the KBW Bank Honor Roll of superior performers and, in 2013, appeared on The Nifty Fifty List by *The Bank Director* as being one of the 50 best users of capital, based on both profitability and capital strength.

## Officers and Directors

### Executive Officers

<b>David P. Heintzman</b> Chairman and Chief Executive Officer	<b>James A. (Ja) Hillebrand</b> President
<b>Kathy C. Thompson</b> Senior Executive Vice President	<b>Nancy B. Davis</b> EVP, Secretary, Treasurer and CFO
<b>William M. Dishman III</b> Executive Vice President	<b>Gregory A. Hoeck</b> Executive Vice President
<b>Philip S. Poindexter</b> Executive Vice President	<b>T. Clay Stinnett</b> Executive Vice President

### Board of Directors

<b>David P. Heintzman</b> Chairman and Chief Executive Officer	<b>James A. (Ja) Hillebrand</b> President
<b>Charles R. Edinger III</b> Lead Director	<b>Carl G. Herde</b>
<b>Richard A. Lechleiter</b>	<b>Bruce P. Madison</b>
<b>Richard Northern</b>	<b>Nicholas X. Simon</b>
<b>Norman Tasman</b>	<b>Kathy C. Thompson</b>
<b>Stephen M. Priebe</b>	

## Contact Information

### Mailing Address for S.Y. Bancorp, Inc.:

P.O. 32890  
Louisville, KY 40232-2890

### Street Address for S.Y. Bancorp, Inc.:

1040 E. Main Street  
Louisville, KY 40206

### Investor Relations Contact

Ms. Nancy B. Davis  
(502) 625-9176  
[nancy.davis@syb.com](mailto:nancy.davis@syb.com)

### Transfer Agent

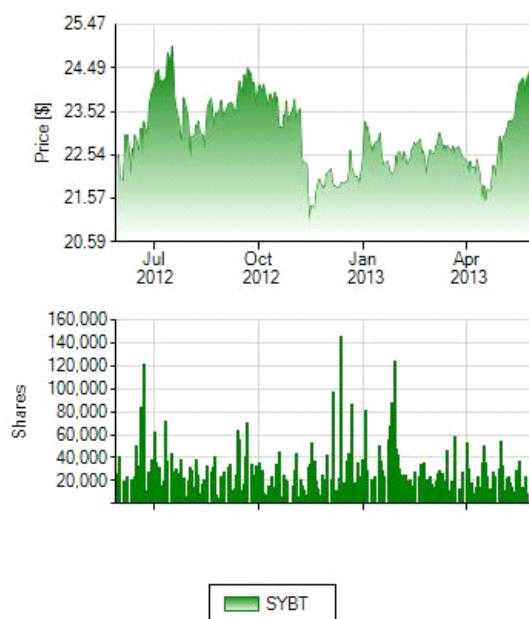
Registrar and Transfer Company  
10 Commerce Drive  
Cranford, NJ 07016  
(800) 368-5948  
[info@rtco.com](mailto:info@rtco.com)

## Market Data

Current Quote	Data as of May 31, 2013 2:54 PM
Last Sale	\$ 24.27
Last Close	\$ 24.60
Today's High	\$ 24.40
Today's Low	\$ 24.06
Net Change	\$ (0.33) ▼ 1.34%
Today's Volume	9,750

Minimum 20 minute delay

### Current Chart



## Insider Ownership

## Dividends (NASDAQ - SYBT)

**Description:** LTM Dividend Payout Ratio (%): 41.49  
Common stock, no par value Current Dividend Yield (%): 3.30

Ex-Dividend Date	Record Date	Announce Date	Pay Date	Amount	Frequency
6/6/2013	6/10/2013	5/21/2013	7/1/2013	0.2000	Quarterly
3/7/2013	3/11/2013	2/19/2013	4/1/2013	0.2000	Quarterly
12/6/2012	12/10/2012	11/13/2012	12/31/2012	0.2000	Quarterly
9/6/2012	9/10/2012	8/21/2012	10/1/2012	0.1900	Quarterly
6/7/2012	6/11/2012	5/15/2012	7/2/2012	0.1900	Quarterly
3/8/2012	3/12/2012	2/21/2012	4/2/2012	0.1900	Quarterly
12/8/2011	12/12/2011	11/16/2011	1/3/2012	0.1800	Quarterly
9/8/2011	9/12/2011	8/16/2011	10/3/2011	0.1800	Quarterly
6/9/2011	6/13/2011	5/18/2011	7/1/2011	0.1800	Quarterly
3/10/2011	3/14/2011	2/16/2011	4/1/2011	0.1800	Quarterly
12/9/2010	12/13/2010	11/17/2010	1/3/2011	0.1800	Quarterly
9/9/2010	9/13/2010	8/18/2010	10/1/2010	0.1700	Quarterly

## Insider Transaction Activity (Last 6 Months)

Aggregates	Shares	Price (\$)	Value (\$)
Open Market Buys	9,297	22.53	209,480
Open Market Sells	(20,948)	22.69	(475,359)
Options Ex. & Sold	18,683	22.68	(126,734)
Net Shares	20,968	22.64	(392,612)

## High Volume Traders (Last 6 Months)

Name	# Buys	# Sells	# Options Ex. & Sold	Total # Trans.	Net Shares
Kathy C. Thompson	1	8	8	17	2,559
Carl Gerard Herde	10	0	0	10	2,265
Bruce P. Madison	9	0	0	9	2,247
T. Clay Stinnett	0	0	5	5	2,160
Norman Tasman	10	0	0	10	2,094

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## Mergers and Acquisitions

### Acquisitions

#### Bank & Thrift Company Deals

Target Seller	State	Industry Type	Announce Date	Status	Deal Value (\$M)	Target Total Assets (\$000)
Bancorp, Inc.	KY	Bank	12/19/2012	Completed on 4/30/2013	20.0	136,102
Austin State Bank	IN	Bank	6/13/1996	Completed on 10/1/1996	2.7	8,518

## Recent Documents

Filing Description	Filing Type	Filing Date	Event Date
10-Q	10-Q	5/6/2013	3/31/2013
8-K	8-K	5/1/2013	4/30/2013
8-K	8-K	4/26/2013	4/24/2013
8-K	8-K	4/25/2013	4/24/2013
Deregistration of Securities	15-12G	4/25/2013	

## Upcoming Events

INVESTKentucky Equity Conference	6/6/2013
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## Recent Press Releases

- 5/31/2013 [S.Y. Bancorp to Present at the 2013 Hilliard Lyons INVESTKentucky Conference](#)
- 5/22/2013 [S.Y. Bancorp Declares Quarterly Cash Dividend of \\$0.20 Per Common Share](#)
- 5/01/2013 [S.Y. Bancorp, Inc. Completes Previously Announced Acquisition of THE BANCORP, Inc., Holding Company for THE BANK – Oldham County, Inc.](#)
- 4/24/2013 [S.Y. Bancorp Reports Record Net Income for the First Quarter of 2013 of \\$6.8 Million or \\$0.49 Per Diluted Share](#)
- 2/20/2013 [S.Y. Bancorp Declares Quarterly Cash Dividend of \\$0.20 Per Common Share](#)

## FAQ

**Q: How can I change or correct information on my stock certificates, transfer certificates, or obtain information regarding my account?**

A: Registrar and Transfer Company is the transfer agent for our common stock. You may contact Registrar and Transfer Company at 10 Commerce Drive, Cranford, NJ 07016, or reach them by telephone at 1/800-368-5948. Additional FAQs concerning our transfer agent can be found [here](#).

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**Q: Where is S.Y. Bancorp, Inc.'s common stock listed?**

A: Effective July 27, 2005, our common stock began trading on the NASDAQ National Market under the symbol **SYBT**. Prior to that date, our common stock was listed on the AMEX under the symbol SYI.

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**Q: Who makes a market in your common stock?**

A: To see a list of our Market Makers and their contact information, please visit our [Market Makers](#) page.

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**Q: What is the company's dividend policy?**

A: S.Y. Bancorp has paid quarterly cash dividends since it has existed. For dividend rate information, see our [Stock Splits & Dividends](#) page.

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**Q: Does S.Y. Bancorp offer a Dividend Reinvestment Program?**

A: Yes, the Company currently offers a Dividend Reinvestment Program, which provides for optional cash payments in addition to the reinvestment of periodic dividends.

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**Q: May an investor purchase stock directly from the Company instead of going through a broker?**

A: S.Y. Bancorp does not offer a Direct Stock Purchase Plan, but the Company's Dividend Reinvestment Program allows stockholders to invest cash in addition to reinvested dividends.

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**Q: How can I receive Email alerts from S.Y. Bancorp, Inc.?**

A: To sign up for Email alerts on our Events, Documents, Press Releases and closing stock price information click here to visit our [Email Notification](#) page.

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**Q: How can I request additional information from S.Y. Bancorp, Inc.?**

A: You can contact us via our [Information Request](#) page.

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